



SHEET 8 OF 8

INFORMATION DISCLOSURE CITATION IN AN APPLICATION				ATTY. DOCKET NO. 043888-0325		SERIAL NO. 10/506,298	
(PTO-1449)				APPLICANT Tutomu OHZUKU, et al.			
				FILING DATE September 1, 2004		GROUP 2838	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Codes of Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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/AE/		JP 3244314	10-31-1991	KUBOTA KK		Partial English translation	
		JP 8-298115	11-12-1996	JAPAN STORAGE BATTERY CO LTD	Corresponds to US 5,677,087	English Abstract only	
		JP 10-3921	01-06-1998	JAPAN STORAGE BATTERY CO LTD	Corresponds to US 5,981,106	JAPAN (w/English abstract)	
/AE/		JP 2003-238165	8-15-2003	Ueda et al.	Corresponds to US 2003/008245		X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
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/AE/		ROSSEN, E., et al., "Structure and Electrochemistry of Li,Mn,Ni, <sub>2</sub> O <sub>4</sub> ", SOLID STATE IONICS, 1992, page 311-318, Vol. 57, Elsevier Science Publishers B.V.					
EXAMINER				DATE CONSIDERED			
/Alix Echelmeyer/				11/19/2007			

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/Alix Echelmeyer/

04/07/2009



SHEET 1 OF 8

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

 ATTY. DOCKET NO.  
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Tsutomu OHZUKU, et al.

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		US 5,393,622	02/28/1995	Nitta et al.	
		US 5,370,948	12/06/1994	Hasegawa et al.	
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						Yes	No
		JP 09-199127	07/31/1997	MATSUSHITA ELECTRIC IND CO LTD		Japan (w/ English Abstract)	
		JP 10-81521	03/31/1998	NIPPON CHEM IND CO LTD		Japan (w/ English Abstract)	
		JP 11-60243	03/02/1999	mitsui MINING & SMELTING CO LTD		Japan (w/ English Abstract)	
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		"SOLID-STATE REDOX REACTIONS OF $\text{LiNi}_{1/2}\text{Co}_{1/2}\text{O}_2$ (R3m) FOR A 4 VOLT SECONDARY LITHIUM CELLS", Ueda et al., J. Electrochem. Soc., Vol. 141, No. 8, August 1994 © The Electrochemical Society, Inc. pp. 2011-2014

 EXAMINER  
/Alix Echelmeyer/

04/07/2009

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		JP 2001-185153	07-06-2001	Sanyo Electric Co.		JAPAN (w/English abstract)
		JP 2000-149923	05-30-2000	FUJI CHEM IND CO LTD		JAPAN (w/English translation)
		JP 11-292547	10-26-1999	Ishihara Sangyo Kaisha Ltd		JAPAN (w/English abstract)
		JP 11-167919	06-22-1999	Nikki Chemical Co. Ltd		JAPAN (w/English abstract)
		JP 11-060246	03-02-1999	Sakal Chem Ind Co Ltd		JAPAN (w/English abstract and partial translation)
		JP 10-316431	12-02-1998	Fuji Chem Ind Co Ltd		JAPAN (w/English abstract and partial translation)
		JP 08-171910	12-16-1994	Matsushita Electric Ind Co Ltd		JAPAN (w/English abstract)

## OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	DATE CONSIDERED
		EXAMINER /Alix Echelmeyer/	04/07/2009

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<b>FOREIGN PATENT DOCUMENTS</b>							
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		WO 98/57386	12-17-1996	Sanyo Electric Co., Ltd		JAPAN (w/English abstract)	
<b>OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)</b>							
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		JP 05-283076	10-29-1993	Matsushita Electric Ind Co. Ltd.			JAPAN (w/English abstract)
							Corresponds w/ US 2003/0260496
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		US 5,981,106	11-09-1999	AMINE et al.	Corresponds to JP 10-3921
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		JP 11-025980	01-29-1999	SUMITOMO METAL MINING CO LTD			JAPAN (w/English translation)
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		JP 2000-340230	12-08-2000	DOWA MINING CO LTD			JAPAN (w/English translation)
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			<b>FILING DATE</b> September 1, 2004		<b>GROUP</b> 2838	
<b>U.S. PATENT DOCUMENTS</b>						
<b>EXAMINER'S INITIALS</b>	<b>CITE NO.</b>	<b>Document Number</b> Number-Kind Codes (if known)	<b>Publication Date</b> MM-DD-YYYY	<b>Name of Patentee or Applicant of Cited Document</b>		<b>Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear</b>
		US 6,352,794 B1	03-05-2002	Nakanishi et al.		
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<b>FOREIGN PATENT DOCUMENTS</b>						
<b>EXAMINER'S INITIALS</b>	<b>CITE NO.</b>	<b>Foreign Patent Document</b> Country Codes - Number - Kind Codes (if known)	<b>Publication Date</b> MM-DD-YYYY	<b>Name of Patentee or Applicant of Cited Document</b>	<b>Pages, Columns, Lines Where Relevant Figures Appear</b>	<b>Translation</b> Yes No
		JP 3244314	10-31-1991	KUBOTA KK		Partial English translation
		JP 8-298115	11-12-1996	JAPAN STORAGE BATTERY CO LTD	Corresponds to US 5,677,087	English Abstract only
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		JP 2003-238165	8-15-2003	Ueda et al.	Corresponds to US 2003/008245	X
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
<b>EXAMINER'S INITIALS</b>	<b>CITE NO.</b>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		ROSSEN, E., et al., "Structure and Electrochemistry of Li,Mn,Ni <sub>2</sub> O <sub>4</sub> ", SOLID STATE IONICS, 1992, page 311-318, Vol. 57, Elsevier Science Publishers B.V.				
<b>EXAMINER</b> /Alix Echelmeyer/				<b>DATE CONSIDERED</b> 04/07/2009		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AE/